

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
 Commissioner for Patents
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

10/05/2004

Supervisor, Patent Prosecution Services
 PIPER MARBURY RUDNICK & WOLFE LLP
 1200 Nineteenth Street, N.W.
 Washington, DC 20036-2412

01/06/2005 HLE444 00000110 09987367

01 FC:1501

02 FC:1504

1400.00 OP

300.00 OP



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Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/987,367	11/14/2001	Kazuo Kawaguchi	5988-035-27	6769

TITLE OF INVENTION: ANTI-REFLECTION COATING FORMING COMPOSITION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370 1400	\$300	\$1670 1700	01/05/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
CAIN, EDWARD J	1714	524-553000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 DLA Piper Rudnick

2 Gray Cary U.S. LLP

3 Steven B. Kelber

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

JSR Corporation

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1442 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date January 5, 2005Typed or printed name Christopher W. RaimundRegistration No. 47,258

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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DLA PIPER RUDNICK GRAY CARY U.S. LLP

1200 NINETEENTH STREET, NW
WASHINGTON, DC 20036-2412
TELEPHONE: 202-861-3900
FACSIMILE: 202-223-2085

DOCKET NO.: 5988-035-27

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 09/987,367
Applicant(s): Kazuo KAWAGUCHI, et al.
Filing Date: November 14, 2001
For: ANTI-REFLECTION COATING FORMING COMPOSITION
Group Art Unit: 1714
Examiner: Edward J. Cain

SIR:

Attached hereto for filing are the following papers:

Fee Transmittal
Issue and Publication Fees Transmittal

Our check in the amount of \$1,700.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY U.S. LLP

Steven B. Kelber
Attorney of Record
Registration No.: 30,073

Christopher W. Raimund
Registration No.: 47,258

**FEE TRANSMITTAL
FY 2005**

TOTAL AMOUNT OF PAYMENT

\$1,700.00

Docket No.

5988-035-27

Serial No.

09/987,367

Filing Date

November 14, 2001

Inventor(s)

Kazuo KAWAGUCHI, et al.

Group Art Unit

1714

Examiner

Edward J. Cain

1. ☐ Applicant claims small entity status.

☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

2. ☒ Check enclosed.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Description

Fee
Code

Fee
(\$)

Fee
Code

Fee
(\$)

Fee Paid

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description											
Code	Fee \$	Code	Fee \$		Fee Paid										
1011	300	2011	150	Utility Filing Fee		1051	130	2051	65	Surcharge-late filing fee or oath					
1012	200	2012	100	Design Filing Fee		1053	130	1053	130	Non-English Specification					
1013	200	2013	100	Plant Filing Fee		1251	120	2251	60	1-mo. ext. of time					
1014	300	2014	150	Reissue Filing Fee		1252	450	2252	225	2-mo. ext. of time					
1005	200	2005	100	Provisional Filing Fee		1253	1020	2253	510	3-mo. ext. of time					
1111	500	2111	250	Utility Search Fees		1254	1590	2254	795	4-mo. ext. of time					
1311	200	2311	100	Utility Examination Fees		1255	2160	2255	1080	5-mo. ext. of time					
						1401	500	2401	250	Notice of Appeal					
						1402	500	2402	250	Appeal Brief					
						1403	1000	2403	500	Request for Oral					
						1501	1400	2501	700	Utility/Reissue Issue					\$1,400.00
						1504	300	1504	300	Publication Fee					\$300.00
SUBTOTAL					\$0.00										

2. EXTRA CLAIM FEES

tot. claims		-	20*	=	0	x	\$50	=	0	1806	180	1806	180	IDS Submission	
ind. claims		-	3*	=	0	x	\$200	=	0	8021	40	8021	40	Assignment Recordation	
<input type="checkbox"/>	Multiple Dependent Claims						\$360	=		1801	790	2801	395	For Filing RCE	
SUBTOTAL					0					1814	130	2814	65	Terminal Disclaimer	

3. APPLICATION SIZE FEES

Total # of Sheets				# of Extra Sheets											
	-	100	=		0										
# of each additional 50 sheets or fraction thereof (round up)				Fee		Total Fee Due								SUBTOTAL	1700
	x	\$250	=		0										

Name

Steven B. Kelber

Registration No.

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Signature

Date

January 5, 2005

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